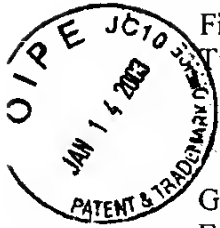


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shigeru Sawada, et al.
App. No. : 10/065,552
Filed : October 29, 2002
Title : WAFER INCLUDING AN In-CONTAINING-
COMPOUND SEMICONDUCTOR SURFACE LAYER
AND METHOD FOR PROFILING ITS CARRIER
CONCENTRATION
Group/Art Unit : 2814
Examiner : (To be assigned)
Docket No. : 39.002-AG

(Cnfrm. No. 7687)



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J. Brunson
1/29/03

Honorable Commissioner of Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The attached documents as enumerated on the accompanying Form PTO/SB/08A are hereby brought to the Examiner's attention in the patent application identified above. A copy of this form accompanies this paper.

REMARKS

This reference is cited in the "Background of the Invention" section under "Description of the Related Art" in the specification in the present application.

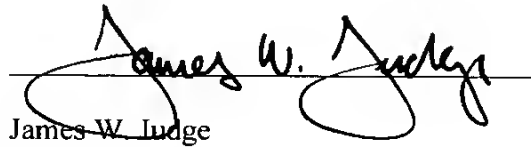
Serial No. 10/065,552

Group Art Unit: 2814
Examiner: (To be assigned)

The Examiner is respectfully requested to consider this reference, to indicate so on the accompanying Form PTO/SB/08A, and to make the document of record in the present application.

Respectfully submitted,

January 7, 2003

A handwritten signature in black ink, appearing to read "James W. Judge", is written over a horizontal line.

James W. Judge
Registration No. 42,701

JUDGE PATENT FIRM
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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO				<div>Complete if Known</div> <table border="1"> <tr> <td>Application Number</td> <td>10/065,552</td> </tr> <tr> <td>Filing Date</td> <td>October 29, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Shigeru Sawada</td> </tr> <tr> <td>Art Unit</td> <td>2814</td> </tr> <tr> <td>Examiner Name</td> <td>(to be assigned)</td> </tr> <tr> <td>Attorney Docket Number</td> <td>39.002-AG</td> </tr> </table>		Application Number	10/065,552	Filing Date	October 29, 2002	First Named Inventor	Shigeru Sawada	Art Unit	2814	Examiner Name	(to be assigned)	Attorney Docket Number	39.002-AG
Application Number	10/065,552																
Filing Date	October 29, 2002																
First Named Inventor	Shigeru Sawada																
Art Unit	2814																
Examiner Name	(to be assigned)																
Attorney Docket Number	39.002-AG																
<div>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div>(use as many sheets as necessary)</div>																	
Sheet	1	of	2														

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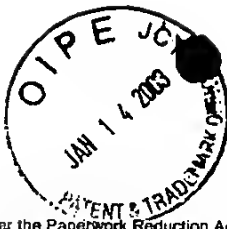
FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	10/065,552		
		Filing Date	October 29, 2002		
		First Named Inventor	Shigeru Sawada		
		Group Art Unit	2814		
		Examiner Name	(to be assigned)		
Sheet	2	of	2	Attorney Docket Number	39.002-AG

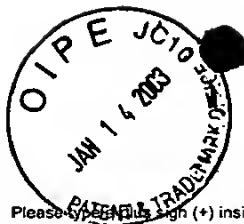
OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		R. T. GREEN, D. K. WALKER, and C. M. WOLFE, "An Improved Method for the Electrochemical C-V Profiling of Indium Phosphide," <i>J. Electrochem. Soc.</i> , Vol. 133, 1986, pp. 2278-2283.	

Examiner Signature	Date Considered
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¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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2814

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/065,552	
	Filing Date	10/29/2002	
	First Named Inventor	Shigeru Sawada	
	Group Art Unit	2814	
	Examiner Name	(to be assigned)	
Total Number of Pages in This Submission	11	Attorney Docket Number	39.002-AG

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ENCLOSURES (check all that apply)		
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Firm or Individual name	JUDGE PATENT FIRM
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